



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Artavanis-Tsakonas et al.

Re: 60 Continuation of U.S. Serial No.
08/037,890 filed June 25, 1993

Group Art Unit: To be assigned

Filed: On even date herewith

Examiner: To be assigned

Serial No. To be assigned

Attorney Docket No.: 7326-035

For: THERAPEUTIC AND
DIAGNOSTIC METHODS AND
COMPOSITIONS BASED ON
NOTCH PROTEINS AND
NUCLEIC ACIDS

FEE TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The fee required to be filed with the accompanying preliminary amendment of even date herewith concerning the above-identified application has been estimated to be \$241.00.

The claim amendment fee has been estimated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)		SMALL ENTITY			OTHER THAN A SMALL ENTITY		
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE	
TOTAL	* 35	MINUS	** 20	=	15	X 11	\$165.00		X 22	\$	
INDEP.	* 5	MINUS	*** 3	=	2	X 38	\$ 76.00		X 76	\$	
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						+ 120			+ 240	\$	
						TOTAL	\$241.00	OR	TOTAL	\$	
						ADDIT. FEE	\$241.00				

Please charge the required fee to Pennie & Edmonds Deposit Account No. 16-1150.
A copy of this sheet is enclosed.

Respectfully submitted,

Date September 22, 1995

S. Leslie Misrock 18.872
S. Leslie Misrock (Reg. No.)

By: Adriane M. Antler 32.605
Adriane M. Antler (Reg. No.)

PENNIE & EDMONDS
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosure

EXPRESS MAIL CERTIFICATION

Express Mail label No. TB 686 628 855 US Date of Deposit September 22, 1995
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

FRANK DIANGELIS

(Type or print name of person making deposit on fee)

(In presence of person making deposit on fee)

PENY3-404692.1



PENNIE & EDMONDS

COUNSELLORS AT LAW

1155 Avenue of the Americas

New York, N.Y. 10036-2711

(212) 790-9090

08/532384

ATTORNEY DOCKET NO.: 7326-035

Date September 22, 1995

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Re: Rule 60 Continuation of U.S. Patent Application Serial No. 08/083,590 filed June 25, 1995, entitled "THERAPEUTIC AND DIAGNOSTIC METHODS AND COMPOSITIONS BASED ON NOTCH PROTEINS AND NUCLEIC ACIDS" by Artavanis-Tsakonas et al.

S I R:

We are transmitting herewith the above-identified paper or papers by Express Mail as indicated below.

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number TB 686 628 855 US date of deposit September 22, 1995.
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

FRANK DIANGELIS

(Type or print name of person mailing paper or fee)

(Signature of person mailing paper or fee)

Respectfully submitted,

S. Leslie Misrock 18,872
S. Leslie Misrock (Reg. No.)

By: Adriane M. Antler 32,605
Adriane M. Antler (Reg. No.)

PENNIE & EDMONDS

Enclosure

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Anticipate classification of this application:

Class (subclass) _____

Prior application:

Examiner T. ScheinerArt Unit 1802

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR § 1.60, of pending prior application serial no. 08/083,590 filed on June 25, 1993

of Spyridon Artavanis-Tsakonas, Richard Grant Fehon, Panayiotis Zagouras, and
Christine Marie Blaumueller

(inventor(s) currently of record in prior application)

for THERAPEUTIC AND DIAGNOSTIC METHODS AND COMPOSITIONS BASED ON NOTCH
PROTEINS AND NUCLEIC ACIDS

(title of invention)

1. ☒ Enclosed is a copy of the prior application serial no. 08/083,590 filed June 25, 1993, including the oath or declaration, as originally filed. I hereby verify that the enclosed is a true copy of the prior application.
2. ☐ Enclosed is a copy of the prior application, including the oath or declaration as originally filed and an affidavit or declaration verifying it as a true copy.
3. ☒ The filing fee is calculated below:

EXPRESS MAIL CERTIFICATION

"Express Mail" label No. TB 686 628 855 US

Date of Deposit September 22, 1995

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

FRANK DIANGELIS

(Type or print name of person mailing paper or fee)

(Signature of person mailing paper or fee)

PENY3-404683.1

**CLAIMS AS FILED IN THE PRIOR APPLICATION, LESS ANY CLAIMS
CANCELLED BY AMENDMENT BELOW**

PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	1	-20	0	\$22.00 each	.00
Independent	1	-3	0	\$76.00 each	.00
Basic Fee					730.00
Multiple Dependency Fee If Applicable (\$240.00)					
Total					730.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					- 365.00
Total Filing Fee					\$ 365.00

4. ☒ Please charge the required fee to Pennie & Edmonds Deposit Account No. 16-1150. A copy of this sheet is enclosed.

5. ☒ Cancel without prejudice in this application original claims 2-89 of the prior application by preliminary amendment before calculating the filing fee (At least one original independent claim must be retained for filing purposes).

6. ☒ Amend the specification on page 1, line 4 by inserting the following after "This application": is a continuation of application serial no. 08/083,590 filed June 25, 1993, which is--

7a. ☐ Transfer the drawings from the prior application to this application and abandon the prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.

7b. ☐ New formal drawings are enclosed.

7c. ☒ Informal drawings are enclosed.

8a. ☐ Priority of application serial no. filed on in is claimed under 35 U.S.C. §119.

8b. ☐ The certified copy has been filed in prior application serial no. , filed.

9. ☒ The prior application is assigned of record to Yale University, a not-for-profit corporation existing under the law of the State of Connecticut, having an address at 451 College Street, New Haven, Connecticut 06520.

PENNIE & EDMONDS DOCKET NO. 7326-035

- 10a. ☒ The Power of Attorney appears in the original papers in the prior application serial no. 08/083,590, filed June 25, 1993.
- 10b. ☐ Since the Power of Attorney does not appear in the original papers, a copy of the Power in prior application serial no. , filed is enclosed.
11. ☒ A preliminary amendment is enclosed.
12. ☐ A preliminary amendment will be filed after issuance of the filing receipt.
- 13a. ☒ Small entity status was established in prior application serial no. 08/083,590 filed June 25, 1993, and is still proper and desired.
- 13b. ☐ A verified statement claiming small entity status is enclosed.
14. ☒ This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application serial no. 08/083,590 filed on June 25, 1993, be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from serial no. 08/083,590 is enclosed.
15. ☒ The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from serial no. 08/083,590 filed June 25, 1993, is the same as the content of the computer readable form submitted in application serial no. 08/083,590.
16. ☐ Additional enclosures or instructions.
17. ☒ Address all future communications to PENNIE & EDMONDS, 1155 Avenue of the Americas, New York, New York 10036-2711.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

①

September 22, 1995

(date)

S. Leslie Misrock
(signature)

by Adriane Antler
Reg. No. 32,605
18,872
(Reg No.)

Name and address of signator:

Inventor(s)

S. Leslie Misrock

Assignee of complete interest

1155 Avenue of the Americas

X Attorney or agent of record

New York, New York 10036-2711

Filed under rule 34(a)

Tel. No. (212) 790-9090

PENNIE & EDMONDS